BEFORE THE DIVISION OF MEDICAL QUALITY BOARD OF MEDICAL QUALITY ASSURANCE DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:)	
WILLIAM FRANKLIN GALLOWAY, III, M 101 Shell Road, # S-295 Watsonville, CA 95076	1.D.)))	CASE NO. D 4023 OAH NO. N-34255
Respondent.)	

DECISION

The attached Proposed Decision of the Administrative Law

Judge is hereby adopted by the <u>Board of Medical Assurance</u>

as <u>its</u> Decision in the above-entitled matter.

This Decision shall become effective on <u>March 25, 1990</u>

IT IS SO ORDERED February 23, 1990

THERESA CLAASSEN Secretary/Treasurer

OAH 15 (Rev. 6/84)

BEFORE THE DIVISION OF MEDICAL QUALITY BOARD OF MEDICAL QUALITY ASSURANCE DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:)
WILLIAM FRANKLIN GALLOWAY, III, M.D. 101 Shell Road, # S-295) CASE NO. D 4023
Watsonville, CA 95076) OAH NO. N-34255
Physician's and Surgeon's Certificate No. C 33126))
Respondent.)) _)

PROPOSED DECISION

This matter was heard before Stewart A. Judson,
Administrative Law Judge, State of California, Office of
Administrative Hearings on December 4 and 5, 1989 at Santa Cruz,
California.

The complainant was represented by Russell W. Lee,
Deputy Attorney General. William Franklin Galloway, III, M.D.
was present and represented himself on the morning of December 4.
He was neither present nor otherwise represented on the afternoon of December 4 or on December 5.

FINDINGS OF FACT

Ι

The accusation was made by Kenneth J. Wagstaff in his official capacity as the Executive Director of the Board of Medical Quality Assurance of the State of California.

Process was duly served in the manner prescribed by law. Compliance with Government Code sections 11505 and 11509 was established.

III

- a) Morphine is a dangerous drug within the meaning of Business and Professions Code section 4211, a Schedule II controlled substance within the meaning of Health and Safety Code section 11055(b)(1)(M) and a Schedule II substance within the meaning of Title 21, Code of Federal Regulations, section 1308.12(b)(1)(13).
- b) Demerol (Pethidine or Meperidine) is a dangerous drug within the meaning of Business and Professions Code section 4211, a Schedule II controlled substance within the meaning of Health and Safety Code section 11055(c)(16) and a Schedule II controlled substance within the meaning of Title 21, Code of Federal Regulations, section 1308.12(c)(16).
- c) Valium (diazapam) is a dangerous drug within the meaning of Business and Professions Code section 4211, a Schedule IV controlled substance within the meaning of Health and Safety Code section 11057(d)(7) and a Schedule IV controlled substance within the meaning of Title 21, Code of Federal Regulations, section 1308.14(c)(14).
- d) Xylocaine is a dangerous drug within the meaning of Business and Professions Code section 4211.

IV

William Franklin Galloway, III, M.D. (respondent) was issued physician and surgeon certificate No. C-33126 by the Board on February 11, 1971. The certificate was cancelled on November 30, 1986 due to nonpayment of renewal fees but now is current with an expiration date of November 30, 1990.

Respondent's most recent address of record with the Board is 1830 Commercial Way, Santa Cruz, California 95062.

FIRST CAUSE FOR DISCIPLINARY ACTION

VI

Respondent prescribed the controlled substances described in Appendix A and incorporated herein to Carla Official his wife, in the amounts and strengths as indicated.

VII

Expert testimony established that Morphine and Demerol are prescribed for the relief of acute pain or chronic pain in terminally ill or severe pain patients. Aside from terminal patients, a month is generally the upper limit for prescribing said drugs. Typically, the types of ailments causing such pain include renal cholic, an acute abdominal pain, ruptured discus or acute gallbladder pain.

The usual dosage for Demerol under such circumstances would be 50 to 100 mgs as much as every three to four hours if needed. An extreme case may require more. A complete history and physical with documented findings is necessary. A definitive diagnosis as to the cause of the pain is required for long term prescribing of such drugs. In certain cases, short term prescribing would be permissible pending a diagnosis but not for longer than one week.

Morphine and Demerol should not be used in conjunction with a narcotic antagonist. Dalmane is used for sleep and accumulates in the blood. Valium has a long half-life and can remain in the blood for seventy-two hours or more if given over a longer period of time. Ingesting both drugs is tantamount to double dosing the same drug.

VIII

The evidence established that the amounts of drugs prescribed by respondent for O were consistent with an addiction. Respondent made no pathological diagnosis of O nor were there any objective medical reasons for his prescribing conduct. Furthermore, respondent failed to perform any physical examinations of O and failed to maintain any medical records, other than the actual prescriptions themselves, pertaining to O what records exist indicate that O was highly tolerant to the drugs and was likely an addict.

O had received various workups, indicating normality, from other physicians. Respondent treated her for chronic pain syndrome without a diagnosis.

IX

The evidence established that respondent prescribed controlled substances to O in repeated and clearly excessive amounts.

SECOND CAUSE FOR DISCIPLINARY ACTION

X

The evidence established that respondent's afore-described prescribing practices with respect to O constituted an extreme departure from the standard of practice of medicine.

хi

The evidence established that respondent's prescribing practices with respect to O demonstrated a lack of knowledge or inability in discharging his medical obligations.

THIRD CAUSE FOR DISCIPLINARY ACTION

XII

The evidence established that respondent's failure to perform the necessary initial and continuing physical examinations or tests of O while she was under his drug therapy constituted an extreme departure from the standard of practice of medicine.

XIII

Respondent's failure to perform the necessary initial and continuing physical examinations or tests of O while she was under his drug therapy demonstrated a lack of knowledge or inability in discharging his medical obligations.

FOURTH CAUSE FOR DISCIPLIONARY ACTION

VIX

Respondent's failure to maintain medical records for while she was undergoing his drug therapy constitutes an extreme departure from the standard of practice of medicine.

VX

Respondent's failure to maintain medical records for O while she was undergoing his drug therapy demonstrates a lack of knowledge or inability in discharging his medical obligations.

FIFTH CAUSE FOR DISCIPLINARY ACTION

IVX

Respondent's prescribing practices as set forth hereinabove coupled with his repeated failure to perform the necessary initial and continuing physical examinations of the patient while she was undergoing his drug therapy and his repeated failure to maintain medical records for said patient constitute repeated acts of negligence.

SIXTH CAUSE FOR DISCIPLINARY ACTION

XVII

Respondent prescribed said dangerous drugs for O without conducting a good faith prior examination and without medical indication for said drugs.

SEVENTH CAUSE FOR DISCIPLINARY ACTION

XVIII

Respondent prescribed controlled substances to a person (O) that was not for a legitimate purpose.

XIX

Respondent prescribed controlled substances to a patient (Office) who was not under his treatment for a pathology or condition.

EIGHTH CAUSE FOR DISCIPLINARY ACTION

XX

Respondent failed to maintain a record of the prescriptions issued to O for controlled substances as required by Health and Safety Code sections 11190 and 11191.

NINTH CAUSE FOR DISCIPLINARY ACTION

XXI

It was not established that, with respect to a substantial portion of the drugs and prescriptions set forth hereinabove, respondent, by himself personally or through Office or

another agent, caused the prescriptions to be filled and then diverted a portion of said drugs to his own personal non-patient uses and/or self-administered said drugs.

XXII

The evidence did establish that on September 30, 1986, respondent, while on duty in his position as a contract physician for the County jail, was under the influence of a narcotic or dangerous drug and was impaired to the extent as to be dangerous or injurious to himself and the public and to the extent that his ability to practice medicine at the time was impaired.

TENTH CAUSE FOR DISCIPLINARY ACTION

IIIXX

- a) It was not established that on July 15, 1987, respondent appeared at O'Connor Hospital in San Jose, represented himself to be a licensed physician and obtained 50cc of Xylocaine 2% from the pharmacy via a prescription.
- b) It was not established that on July 20, 1987, respondent appeared at Watsonville Hospital in Watsonville, represented himself to be a physician and obtained 100cc of Xylocaine 2% from the pharmacy via a prescription.

ELEVENTH CAUSE FOR DISCIPLINARY ACTION

VIXX

On August 12, 1988, in the Municipal Court of California, County of Santa Cruz, Santa Cruz County Judicial District, respondent was convicted of violating Health and Safety Code section 11153(a) (Issuance of Illegal Prescription), a misdemeanor. Imposition of sentence was suspended, and respondent was placed on probation for a period of thirty-six months on certain terms and conditions.

DETERMINATION OF ISSUES

Ι

First Cause for Disciplinary Action: Cause for disciplinary action exists under Business and Professions Code section 725 in that respondent prescribed controlled substances in repeated and clearly excessive amounts.

ΙI

Second Cause for Disciplinary Action: Cause for disciplinary action exists under Business and Professions Code section 2234(b) and (d) in that respondent has engaged in gross negligence and has demonstrated incompetence.

III

Third Cause for Disciplinary Action: Cause for disciplinary action exists under Business and Professions Code section 2234(b) and (d) in that respondent has engaged in gross negligence and has demonstrated incompetence.

IV

Fourth Cause for Disciplinary Action: Cause for disciplinary action exists under Business and Professions Code section 2234(b) and (d) in that respondent has engaged in gross negligence and has demonstrated incompetence.

V

Fifth Cause for Disciplinary Action: Cause for disciplinary action exists under Business and Professions Code section 2234(c) in that respondent has engaged in repeated acts of negligence.

Sixth Cause for Disciplinary Action: Cause for disciplinary action exists under Business and Professions Code section 2242.

VII

Seventh Cause for Disciplinary Action: Respondent has violated Health and Safety Code sections 11156, 11154 and 11153. Cause for disciplinary action exists under Business and Professions Code sections 2241 and 2238.

VIII

Eighth Cause for Disciplinary Action: Respondent has violated Health and Safety Code sections 11190 and 11191. Cause for disciplinary action exists under Business and Professions Code section 2238.

IX

Ninth Cause for Disciplinary Action: Cause for disciplinary action exists under Business and Professions Code section 2239(a) in conjunction with Section 2234.

Cause for disciplinary action does not exist under Business and Professions Code section 2234(e)

Х

Tenth Cause for Disciplinary Action: Cause for disciplinary action does not exist under Business and Professions Code section 2238 in conjunction with Sections 2051, 2052, 2054, 4036, 4227(a) and 4033.

XΙ

Eleventh Cause for Disciplinary Action: Cause for disciplinary action exists under Business and Professions Code

percey 3, 1990

ORDER

Physician and Surgeon Certificate No. C-33126 of William

Franklin Galloway, III, M.D. is revoked under Determinations I, II, III, IV, V, VI, VIII, IX and XI, separately and severally.

DATED:

STEWART A. JUDGO

Administrative Law Judge

SAJ:wc

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2	<u>Date</u>	<u>Rx. #</u>	Medication	<u>Strength</u>	Amount
3_	01-23-86	147559	Demerol	100 mg/cc	260cc
	02-03-86	147809	Demerol	100 mg/cc	300cc
4	02-14-86	148110	Demerol	100 mg/cc	220cc
2	02-24-86	148317	Demerol	100 mg/cc	200cc
5	04-23-86	149676	Demerol	100 mg/cc	200cc
	04-29-86	149792	Demerol	100 mg/cc	200cc
6	05-09-86	150006	Demerol	100 mg/cc	80cc
	05-13-86	150075	Demerol	100 mg/cc	200cc
7	05-21-86	150212	Demerol	100 mg/cc	200cc
	05-28-86	150337	Demerol	100 mg/cc	200cc
8	06-05-86	150535	Demerol	100 mg/cc	200cc
_ :	06-11-86	150658	Demerol	100 mg/cc	200cc
9	06-17-86	150775	Demerol	100 mg/cc	180cc
	06-23-86	150890	Demerol	100 mg/cc	200cc
10	06-26-86	150963	Demerol	100 mg/cc	200cc
	07-03-86	151123	Demerol	100 mg/cc	200cc
11	07-09-86	151232	Demerol	100 mg/cc	200cc
10	07-18-86	151358	Demerol	100 mg/cc	200cc
12	07-24-86	151501	Demerol	100 mg/cc	200cc
13	07-28-86 08-11-86	151607	Demerol	100 mg/cc	200cc
13	08-11-86	151729 151899	Demerol	100 mg/cc	200cc
14	08-15-86	151982	Demerol	100 mg/cc	200cc
7.4	08-21-86	152099	Morphine Sulfate Demerol	15/cc	200cc
15	08-28-86	152219	Demerol	100/cc	200cc
	09-03-86	152329	Demerol	100/cc 100/cc	200cc,
16	09-09-86	152430	Demerol	100/cc	200cc
	09-16-86	152546	Demerol	100/cc	200cc 200cc
17	09-22-86	152685	Morphine Sulfate	15/cc ·	60cc
i	09-24-86	152755	Demerol	100/cc	2.00cc
18	09-29-86	152853	Demerol	100/cc	200cc
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	01-20-86	535294	Valium	10mg	30
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26	01-08-86	537490	Valium	10mg	20
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1 FROM COMMUNITY HOSPITAL PHARMACY

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3	<u>Date</u>	<u>Rx_#</u>	Medication	Strength	Amount
4	09-06-85	547183	Demerol	100 mg/cc	40cc
	09-23-85	549743	Demerol	100 mg/cc	40cc
5	1				± 1000
_		1	FROM SANTA CRUZ	PHARMACY	
6	05 00 05				
7	05-09-85	141531	Morphine	15 mg/cc	20cc
,	05-28-85	141928	Morphine	15 mg/cc	40cc
8	06-17-85	142464	Morphine	15 mg/cc	40cc
8	07-09-85	143008	Morphine	15 mg/cc	20cc
9	08-01-85	143522	Demerol	100 mg/cc	40cc
9	08-09-85	143757	Demerol	100 mg/cc	40cc
10	08-26-85	144122	Demerol	100 mg/cc	40cc
10	09-16-85	144548	Demerol	100 mg/cc	40cc
	09-27-85	144930	Demerol	100 mg/cc	40cc
11	10-03-85	145075	Demerol	100 mg/cc	40cc
	10-04-85	145130	Demerol	100 mg/cc	40cc
12	10-10-85	145131	Demerol.	100 mg/cc	40cc
	10-14-85	145207	Demerol	100 mg/cc	60cc
13	10-17-85	145289	Demerol	100 mg/cc	80cc
	10-21-85	145341	Demerol	100 mg/cc	60cc
14	10-24-85	145411	Demerol	100 mg/cc	80cc
	10-24-85	145442	Demerol	100 mg/cc	100cc
15	10-28-85	145525	Demerol	100 mg/cc	60cc
	10-31-85	145599	Demerol	100 mg/cc	80cc
16	11-04-85	145695	Demerol	100 mg/cc	80cc
	11-07-85	145784	Demerol	100 mg/cc	240cc
17	11-19-85	146066	Demerol	100 mg/cc	240cc
	12-03-85	146379	Demerol	100 mg/cc	400cc
18	12-19-85	146833	Demerol	100 mg/cc	400cc
. 1	01-03-86	147076	Demerol	100 mg/cc	400cc
19	01-16-86	147388	Demerol	100 mg/cc	400cc
ĺ	03-03-86	148473	Demerol	100 mg/cc	200cc
20	03-11-86	148680	Demerol	100 mg/cc	200cc
	03-17-86	148792	Demerol	100 mg/cc	200cc
21	03-24-86	148992	Demerol	100 mg/cc	200cc
İ	04-03-86	149211	Demerol	100 mg/cc	200cc
22	04-09-86	149357	Demerol	100 mg/cc	200cc
	04-16-86	149511	Demerol	100 mg/cc	200cc
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	1	<u>Date</u>	<u>Rx.</u> #	<u>Medication</u>	Strength	Amount
	2	03-02-86	545082	Valium	10mg	15
	3		C 10000	क्षित्रक का क्षित्रक का		
	4		3274		चित्रकेताकुर े	
•	5	04-30-86	553921	Valium	10mg	50
	6	9 5−27−86	557982	Valium	10mg	70
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	10	07-19-86	566565	Valium	10mg	100
•	~	07-31-86	568586	Valium -	10mg	100
	11	08-15-86	571018	Valium	10mg	30
	10			•	7.	

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1 JOHN K. VAN DE KAMP, Attorney General of the State of California RUSSELL W. LEE REDACTED Deputy Attorney General 6000 State Building 350 McAllister Street San Francisco, California 94102 Telephone: (415) 557-2025 Attorneys for Complainant BEFORE THE 8 DIVISION OF MEDICAL QUALITY BOARD OF MEDICAL QUALITY ASSURANCE 9 DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA 10 11 In the Matter of the Accusation D-4023 NO. 12 Against: 13 ACCUSATION WILLIAM FRANKLIN GALLOWAY, III, M.D. 14 101 Shell Road, #S-295 Watsonville, California 95076 15 Physician's and Surgeon's Certificate No. C 33126 16. 17 Respondent. 18 19 Complainant Kenneth J. Wagstaff, as cause for 20 disciplinary action against the above named respondent, charges 21 and alleges as follows: 22 He is the Executive Director of the Board of Medical Quality Assurance of the State of California (hereinafter 24 referred to as the "board") and makes and files this accusation 25 solely in his official capacity. 26 2. On or about February 11, 1971, the board issued to 27 William Franklin Galloway, M.D., (hereinafter referred to as

"respondent") physician's and surgeon's certificate number C 33126. Said certificate is in a current status at the present time.

- 3. Section 2001 of the Business and Professions Code (hereinafter referred to as the "code") provides for the existence of the board.
- 4. Section 2003 of the code provides for the existence of the Division of Medical Quality (hereinafter referred to as the "division") within the board.
- 5. Section 2004 of the code provides, inter alia, that the division is responsible for the administration and hearing of disciplinary actions involving enforcement of the Medical Practice Act (section 2000 et seq. of the code) and the carrying out of disciplinary action appropriate to findings made by a medical quality review committee, the division, or an administrative law judge with respect to the quality of medical practice carried out by physician & surgeon certificate holders.
- 6. Section 2220, 2234 and 2227 of the code together provide that the division shall take disciplinary action against the holder of a physician's and surgeon's certificate who is guilty of unprofessional conduct.
- 7. Section 725 of the code provides, in pertinent part, that repeated acts of clearly excessive prescribing or administering of drugs or treatment as determined by the standard of the community of licensees is unprofessional conduct for a physician and surgeon.

8. Drugs:

Title 21 of the Code of Federal Regulations.

A) Morphine is a dangerous drug as defined by section 4211 of the Business and Professions Code, and is a Schedule II controlled substance as defined in sections 11055(b)(1)(M) of the Health and Safety Code and is a Schedule II controlled substance as defined in section 1308.12(b)(1)(13) of

- B) Demerol (Pethidine or Meperidine) is a dangerous drug as defined by section 4211 of the Business and Professions Code, and is a Schedule II controlled substance as defined in section 11055(c)(16) of the Health and Safety Code and is a Schedule II controlled substance as defined in section 1308.12(c)(16) of Title 21 of the Code of Federal Regulations.
- C) Valium (diazepam) is a dangerous drug as defined by section 4211 of the Business and Professions Code, and is a Schedule IV controlled substance as defined in section 11057(d)(7) of the Health and Safety Code and is a Schedule IV controlled substance as defined in section 1308.14(c)(14) of Title 21 of the Code of Federal Regulations.

FIRST CAUSES FOR DISCIPLINARY ACTION

9. Respondent is subject to discipline pursuant to the provisions of section 725 of the code for unprofessional conduct in that on or about the hereinafter set out dates respondent prescribed the hereinafter set out controlled substances to his wife, one Carla Office, in repeated and clearly excessive amounts as more particularly alleged hereinafter:

PRESCRIPTIONS FOR CARLA OF

1

ISSUED BY RESPONDENT

2 1 FROM COMMUNITY HOSPITAL PHARMACY

3	Date	<u>Rx #</u>	Medication	<u>Str</u>	ength	Amount
4	09-06-85	547183	Demerol	100	mg/cc	40cc
	09-23-85	549743	Demerol		mg/cc	40cc
5	·	•			_	
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6	05 00 05	141201				
7	05-09-85	141531	Morphine	15		20cc
,	05-28-85 06-17-85	141928 142464	Morphine	. 15	_	40cc`
8	07-09-85	143008	Morphine	15		40cc
0	08-01-85	143522	Morphine Demerol	15 100	-	20cc
9	08-09-85	143757	Demerol	100	J .	40cc
,	08-26-85	144122	Demerol	100	_	40cc
10	09-16-85	144548	Demerol		mg/cc	40cc 40cc
-0	09-27-85	144930	Demerol		mg/cc	40CC 40CC
11	10-03-85	145075	Demerol	100		40cc
,	10-04-85	145130	Demerol	100	<u> </u>	40cc
12	10-10-85	145131	Demerol		mg/cc	40cc
	10-14-85	145207	Demerol		mg/cc	60cc
13	10-17-85	145289	Demerol		mg/cc	80cc
	10-21-85	145341	Demerol		mg/cc	60cc
14	10-24-85	145411	Demerol		mg/cc	80cc
	10-24-85	145442	Demerol	100		100cc
15	10-28-85	145525	Demerol	100	_ ·	60cc
	10-31-85	145599	Demerol	100		80cc
16	11-04-85	145695	Demerol		mg/cc	80cc
	11-07-85	145784	Demerol	100	mg/cc	240cc
17	11-19-85	146066	Demerol	100	mg/cc	240cc
	12-03-85	146379	Demerol	100		400cc
18	12-19-85	146833	Demerol	100	-	400cc
	01-03-86	147076	Demerol	1,00	•	400cc
19	01-16-86	147388	Demerol	100	-	400cc
20	03-03-86	148473	Demerol	100		200cc
20	03-11-86	148680	Demerol		mg/cc	200cc
21	03-17-86	148792	Demerol	100		200cc
21	03-24-86	148992	Demerol	100	mg/cc	200cc
22	04-03-86	149211 149357	Demerol	100	mg/cc	200cc
22	04-16-86	149511	Demerol Demerol		mg/cc	200cc
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	09-17-85	3669L46	Demerol	100	mg/cc	40cc
25	09-24-85	9497163	Demerol		mg/cc	20cc
. 1	09-26-85	12533H24	Demerol		mg/cc	40cc
26	09-20-85	3669L06	Demerol		mg/cc	60cc
	,		•		-	
27	1 Wh	ere prescr	iption was	retrieved from	m.	

1	FROM	SANTA	CRIIZ	PHARMACY

2	<u>Date</u>	<u>Rx. #</u>	Medication	Strength	Amount
3_	01-23-86	147559	Demerol	100 mg/cc	260cc
4	02-03-86	147809	Demerol	100 mg/cc	300cc
4	02-14-86 02-24-86	148110 148317	Demerol Demerol	100 mg/cc 100 mg/cc	220cc 200cc
5	04-23-86	149676	Demerol	100 mg/cc	200cc
	04-29-86	149792	Demerol	100 mg/cc	200cc
6	05-09-86	150006	Demerol	100 mg/cc	80cc
7	05-13-86	150075	Demerol	100 mg/cc	200cc
′	05-21-86 05-28-86	150212 150337	Demerol Demerol	100 mg/cc 100 mg/cc	200cc 200cc
8	06-05-86	150537	Demerol	100 mg/cc	200cc
_	06-11-86	150658	Demerol	100 mg/cc	200cc
9	06-17-86	150775	Demerol	100 mg/cc	180cc
	06-23-86	150890	Demerol	100 mg/cc	200cc
10	06-26-86 07-03-86	150963	Demerol	100 mg/cc	200cc
11	07-03-86	151123 151232	Demerol Demerol	100 mg/cc 100 mg/cc	200cc 200cc
	07-18-86	151358	Demerol	100 mg/cc	200cc
12	07-24-86	151501	Demerol	100 mg/cc	200cc
	07-28-86	151607	Demerol	100 mg/cc	200cc
13	08-11-86	151729	Demerol	100 mg/cc	200cc
	08-11-86	151899	Demerol	100 mg/cc	200cc
14	08-15-86 08-21-86	151982	Morphine Sulfate Demerol	15/cc	200cc
15	08-21-86	152099 152219	Demerol	100/cc 100/cc	200cc `200cc
	09-03-86	152329	Demerol	100/cc	200cc
16	09-09-86	152430	Demerol	100/cc	200cc
	09-16-86	152546	Demerol	100/cc	200cc
17	09-22-86	152685	Morphine Sulfate	15/cc	60cc
18	09-24-86	152755 152853	Demerol Demerol	100/cc	200cc
10	09-29-66	132033	Delieroi	100/cc	200cc
19			1 FROM RESPONDENT	<u>r</u>	
20	09-23-86 07-16-86	218353 86031-	Demerol	50 mg/ml	30cc
21	(no date)	116-38	Demerol	100 mg/cc	200cc
22	(mo date)	86031 <u> </u>	Demerol	100 mg/cc	40cc
23					
			1 FROM LONGS DRUG	<u>s</u>	
24	01-20-86	535294	Valium	1.0	2:0
25	02-21-86	535294	Valium	10mg 10mg	30 30
			V No. 20 44 66411	± omg	30
26	01-08-86	537490	Valium	10mg	20
	02-02-86	537490	Valium	10mg	20
27	02-03-86	537490	Valium	10mg	20
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1	<u>Date</u>	<u>Rx. #</u>	Medication	<u>Strength</u>	Amount
2	03-02-86	545082	Valium	10mg	15
3	03-18-86 04-10-86	545082 545082	Valium Valium	10mg 10mg	15 15
4	04-10-86	545082	Valium	10mg	15
5	04-30-86 05-12-86	553921 553921	Valium Valium	10mg 10mg	50 50
6	05-27-86	557982°	Valium	10mg	70
7	06-07-86	559990	Valium	' 10mg	100
8	06-22-86 07-08-86	562166 562166	Valium Valium	10mg 10mg	100 100
10	07-19-86	566565	Valium	10mg	100
11	07-31-86	568586	Valium	10mg	100
12	08-15-86	571018	Valium	10mg	30

10. Section 2234, subdivision (b) of the code provides, in pertinent part, that unprofessional conduct includes, but is not limited to, gross negligence.

11. Section 2234, subdivision (d) of the code provides, in pertinent part, that unprofessional conduct includes, but is not limited to, incompetence.

SECOND CAUSES FOR DISCIPLINARY ACTION

12. Respondent is further subject to disciplinary action in that respondent has been guilty of gross negligence and/or incompetence within the meaning of section 2234, subdivisions (b) and (d), of the code in the prescribing of the hereinafter set out drugs in the hereinafter set out amounts and durations to his wife, Carla O. On or about the hereinafter set out dates:

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 The list of prescription data thereto appertaining set forth hereinabove at paragraph 9 is incorporated herein by reference as though fully set forth at length.

THIRD CAUSES FOR DISCIPLINARY ACTION

action in that respondent has been guilty of gross negligence and/or incompetence within the meaning of section 2234, subdivisions (b) and (d), of the code, in that while prescribing the hereinabove set out drugs in the hereinabove set out manner to his wife, Carla Oliman, as described hereinabove at paragraph 9, respondent failed to obtain and/or perform the necessary or adequate initial and/or continuing physical examinations or tests of said Carla Oliman while under said drug therapy.

FOURTH CAUSES FOR DISCIPLINARY ACTION

- action in that respondent has been guilty of gross negligence and/or incompetence within the meaning of section 2234, subdivisions (b) and (d), of the code, in that while prescribing the hereinabove set out drugs in the hereinabove set out manner to said Carla O as described hereinabove at paragraph 9, respondent failed to maintain adequate or any medical records for said Carla O as a carla O and ca
- 15. Section 2234, subdivision (c) of the code provides, in pertinent part, that unprofessional conduct includes, but is not limited to, repeated negligent acts.

FIFTH CAUSES FOR DISCIPLINARY ACTION

action in that respondent has been guilty of repeated negligent acts within the meaning of section 2234, subdivision (c), of the code in that respondent repeatedly prescribed the hereinabove set out drugs in the hereinabove set out manner to said Carla Office as described hereinabove at paragraph 9 and/or in that respondent repeatedly failed to obtain and/or perform necessary initial and/or continuing physical examinations of said Carla Office while under said drug therapy and/or in that respondent repeatedly failed to maintain adequate or any medical records for said Carla Office.

17. Section 2242 of the code provides, in pertinent part, that the prescribing, dispensing or furnishing of dangerous drugs as defined in section 4211 of the code without a good faith prior examination and medical indication therefor, constitutes unprofessional conduct.

SIXTH CAUSES FOR DISCIPLINARY ACTION

18. Respondent is further subject to discipline pursuant to the provisions of section 2242 of the code, in that respondent has prescribed the following dangerous drugs as defined in section 4211 of the code, for said Carla Owithout conducting a good faith prior examination of such persons, and without medical indication therefor, as more particularly alleged hereinafter:

The list of prescription data thereto appertaining set forth hereinabove at

paragraph 9 is incorporated herein by reference as though fully set forth at length.

19. Section 2241 of the Code provides, in pertinent part, that unless otherwise provided by this section, the prescribing, selling, furnishing, giving away, or administering or offering to prescribe, sell, furnish, give away, or administer any of the drugs or compounds mentioned in Section 2239 to an addict or habitue constitutes unprofessional conduct.

20. Section 2238 of the code provides, in pertinent part, that the violation of any statute of this state regulating controlled substances, constitutes unprofessional conduct.

21. Section 11156 of the Health and Safety Code provides that no person shall prescribe for or administer, or dispense a controlled substance to an addict or habitual user, or to any person representing himself as such, except as permitted by this division.

22. Section 11153(a) of the Health & Safety Code now provides and former Section 11153 provided in pertinent part, that a prescription for a controlled substance shall only be issued for a legitimate medical purpose by an individual practitioner acting in the usual course of his or her professional practice.

23. Section 11154(a) of the Health and Safety Code provides and former section 11154 provided, in pertinent part, that except in the practice of his profession no person shall prescribe, administer, dispense or furnish a controlled substance

to or for any person who is not under his treatment for a pathology or condition other than addiction to a controlled substance.

24. Section 11007, subdivision (b), and section 11150.5 of the Health and Safety Code provide, in pertinent part, that a controlled substance as the term is used in sections 11153, 11153(a), 11154, and 11154(a) of the Health and Safety Code means any drug, substance or immediate precursor which is included in one of the five schedules contained in the Federal Controlled Substances Act (Title II, P.L. 91-513).

25. Morphine, Demerol, and Valium are controlled substances within the meaning of Health and Safety Code sections 11153, 11153(a), 11154, 11154(a), 11007 and 11150.5 as set forth in paragraph 8 hereinabove.

SEVENTH CAUSES FOR DISCIPLINARY ACTION

26. Respondent is further subject to discipline pursuant to the provisions of sections 2241 and 2238 of the code in that respondent has violated state statutes regulating controlled substances by prescribing controlled substances to a person not under his treatment for a pathology or condition other than addiction to a controlled substance in the instances alleged in paragraph 9, hereinabove, in violation of sections 11156, 11154 and/or 11154(a) of the Health and Safety Code and/or has issued prescriptions for controlled substances not for a legitimate medical purpose in the instances alleged in paragraph 9, hereinabove, in violation of section 11153 or 11153(a) of the Health and Safety Code.

1	27. Sections 11190 and 11191 of the Health and Safety
2	Code provides as follows:
3	11190. Every practitioner, other than a
4	pharmacist, who issues a prescription, or dispenses or administers a controlled substance classified in Schedule II shall
5	make a record, as to the transaction, shows all of the following:
6	(a) The name and address of the patient. (b) The date.
7	(c) The date. (c) The character and quantity of controlled substances involved.
8	The prescriber's record shall show the
9	pathology and purpose for which the prescription is issued, or the controlled
10	substance administered, prescribed, or dispensed.
11	11191. The record shall be preserved for three years.
12	Every person who violates any provision of
13	this section is guilty of a misdemeanor.
14	EIGHTH CAUSES FOR DISCIPLINARY ACTION
15	28. Respondent is further subject to discipline
16	pursuant to the provisions of section 2238 of the code in that
17	respondent has violated sections 11190, and/or 11191 of the
18	Health and Safety Code. The circumstances are as follows:
19	With respect to virtually every
20	prescription listed in paragraph 9
21 ·	hereinabove, respondent failed to keep a
22	record in Carla Olander's medical records or
23	elsewhere of the information required by
24	sections 11190 and 11191 of the Health and
25	Safety Code.
26	29. Section 2239(a) of the code provides in pertinent
	part, that the use or prescribing for or administering to himself

or herself, of any controlled substance; or the use of any of the dangerous drugs specified in Section 4211, or of alcoholic beverages, to the extent, or in such a manner as to be dangerous or injurious to the licensee, or to any other person or to the public, or to the extent that such use impairs the ability of the licensee to practice medicine safely constitutes unprofessional conduct.

30. Section 2234(e), provides that the commission of any act involving dishonesty or corruption which is substantially related to the qualifications, functions, or duties of a physician and surgeon is unprofessional conduct.

31. Health and Safety Code section 11170 provides that no person shall prescribe, administer, or furnish a controlled substance for himself.

32. Health and Safety Code section 11171 provides that no person shall prescribe, administer, or furnish a controlled substance except under the conditions and in the manner provided by this division.

33. Health and Safety Code section 11173 provides, in pertinent part, as follows:

11173. (a) No person shall obtain or attempt to obtain controlled substances, or procure or attempt to procure the administration of or prescription for controlled substances, (1) by fraud, deceit, misrepresentation, or subterfuge; or (2) by the concealment of a material fact.

(b) No person shall make a false statement in any prescription, order, report, or record, required by this division.

NINTH CAUSES FOR DISCIPLINARY ACTION

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- 34. Respondent is further subject to discipline pursuant to the provisions of sections 2234(e) and /or 2239(a) of the code and/or pursuant to the provisions of section 2238 of the code in conjunction with Health and Safety Code sections 11154(a), 11170, 11171, 11173(a) and/or 11173(b). The circumstances are as follows:
 - A. With respect to a substantial portion of the drugs and prescriptions set forth at paragraph 9 hereinabove, respondent by himself personally or through his wife or another agent had the prescriptions filled and then diverted a portion of said drugs to his own personal non-patient related uses and/or self administered said drugs.
 - B. As a result of said diversions and self administrations of said drugs referenced in subparagraph A hereinabove, or as a result of self administrations of controlled substances from other sources, respondent was, on or about September 30, 1986 and October 1, 1986, impaired in such a manner as to be dangerous or injurious to himself, his wife, or the public, or to the extent that his ability to practice medicine safely was impaired.
- 35. Section 2051 of the Code provides that the physician's and surgeon's certificate authorizes the holder to

use drugs or devices in or upon human beings and to sever or penetrate the tissues of human beings and to use any and all other methods in the treatment of diseases, injuries, deformities, and other physical and mental conditions.

36. Section 2052 of the Code provides that any person who uses in any sign, business card, or letterhead, or, in an advertisement, the words "doctor" or "physician," the letters or prefix "Dr.," the initials "M.D.," or any other terms or letters indicating or implying that he or she is a physician and surgeon, physician, surgeon, or practitioner under the terms of this or any other law, or that he or she is entitled to practice hereunder, or who represents or holds himself or herself out as a physician and surgeon, physician, surgeon, or practitioner under the terms of this or any other law, without having at the time of so doing a valid, unrevoked, and unsuspended certificate as a physician and surgeon under this chapter, is guilty of a misdemeanor.

- 38. Section 4036 of the Code provides, in pertinent part, that no person other than a physician, dentist, podiatrist, or veterinarian, shall prescribe or write a prescription.
- 39. Section 4227(a) of the Code provides that a pharmacy may, without a prescription, furnish a dangerous drug to a physician, dentist, podiatrist, or veterinarian, or to a laboratory under sales and purchase records that correctly give the date, the names and addresses of the supplier and the buyer, the drug and its quantity.
 - 40. Section 4033 of the Code provides as follows:

1 4033. Prescribers "Physicians," "dentists," "pharmacists," "podiatrists," 2 "veterinarians," "veterinary surgeons, " "registered nurses, " and 3 "physician's assistants" are 4 persons authorized by a currently valid and unrevoked license to 5 practice their respective professions in this state. 6 "Physicians" means and includes any person holding a valid and 7 unrevoked physician's and surgeon's certificate or certificate to 8 practice medicine and surgery, issued by the Board of Medical 9 Quality Assurance or the Board of Osteopathic Examiners of this 10 state, and includes an unlicensed person lawfully practicing medicine 11 pursuant to Section 2147.5, when acting within the scope of that 12 section. 13 41. Section 11150 of the Health and Safety Code 14 provides, in pertinent part, that no person other than a 15 physician, dentist, podiatrist, or veterinarian, . . . shall 16 write or issue a prescription. 17 42. Xylocaine is a dangerous drug as defined by Section 4211 of the code. 18 19 TENTH CAUSES FOR DISCIPLINARY ACTION 20 43. Respondent is further subject to discipline 21 pursuant to the provisions of section 2238 of the code in 22 conjunction with sections 2051, 2052, 2054, 4036, 4227(a), 4033, 23 and/or section 11150 of the Health and Safety Code in that he has 24 violated state statutes regulating dangerous drugs. 25 circumstances are as follows: 26 On or about July 15, 1987, respondent

appeared at O'Connor Hospital in San Jose,

1 and represented himself to be a licensed 2 physician, and obtained 50cc of Xylocaine 2% from the pharmacy via a prescription. 5 On or about July 20, 1987, respondent appeared at Watsonville Hospital, 6 7 Watsonville, and represented himself to be a 8 licensed physician, and obtained 100 cc of Xylocaine 2% from the pharmacy via a 10 prescription. 11 In truth and in fact, respondent's 12 physicians and surgeon's license had expired 13 on or about November 30, 1986, and had not 14 been renewed as of July 20, 1987, and he was 15 not authorized to obtain or prescribe 16 dangerous drugs or controlled substances. 17 44. Section 2236 of the Code provides as follows: 18 2236. (a) The conviction of any offense substantially related to the qualifications, 19 functions, or duties of a physician and surgeon constitutes unprofessional conduct 20 within the meaning of this chapter. record of conviction shall be conclusive 21 evidence only of the fact that the conviction occurred. 22 (b) The division may inquire into the 23 circumstances surrounding the commission of the crime in order to fix the degree of 24 discipline or to determine if such conviction is of an offense substantially related to the 25 qualifications, functions, or duties of a physician and surgeon. A plea or verdict of 26 guilty or a conviction following a plea of nolo contendere made to a charge 27 substantially related to the qualifications, functions, or duties of a physician and

surgeon is deemed to be a conviction within the meaning of this section.

(c) Discipline may be ordered in accordance with section 2227, or the Division of Licensing may order the denial of the license when the time for appeal has elapsed, or the judgment of conviction has been affirmed on appeal, or when an order granting probation is made suspending the imposition of sentence, irrespective of a subsequent order under the provisions of Section 1203.4 of the Penal Code allowing such person to withdraw his or her plea of guilty and to enter a plea of not guilty, or setting aside the verdict of guilty, or dismissing the accusation, complaint, information, or

indictment.

45. Section 2237 of the Code provides as follows:

2237. (a) The conviction of a charge of violating any federal statutes or regulations or any statute or regulation of this state, regulating dangerous drugs, or controlled substances, constitutes unprofessional conduct. The record of the conviction is conclusive evidence of such unprofessional conduct. A plea or verdict of guilty or a conviction following a plea of nolo contendere is deemed to be a conviction

within the meaning of this section.

(b) Discipline may be ordered in accordance with Section 2227 or the Division of Licensing may order the denial of the license when the time for appeal has elapsed, or the judgment of conviction has been affirmed on appeal, or when an order granting probation is made suspending the imposition of sentence, irrespective of a subsequent order under the provisions of Section 1203.4 of the Penal Code allowing such person to withdraw his or her plea of guilty and to enter a plea of not guilty, or setting aside the verdict of guilty, or dismissing the accusation, complaint, information, or indictment.

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46. Respondent is further subject to discipline

ELEVENTH CAUSES FOR DISCIPLINE

pursuant to sections 2236(a), (b), and (c), and 2237(a) and (b), of the code, in that respondent was convicted of a crime involving state statutes regulating dangerous drugs or controlled substance and substantially related to the qualifications, functions and duties of his profession in that on or about July 29, 1988, in the case entitled People of the State of California v William Franklin Galloway, M.D., Case No. 96-02663, Municipal Court of Santa Cruz Judicial District, County of Santa Cruz, State of California, respondent pled nolo contendere to one count of violating Health and Safety Code Section 11153(a) (prescribing without medical indication) and was placed on probation for 36 months on terms and conditions.

WHEREFORE, complainant prays that the division hold a hearing on the matters alleged herein and, following said hearing, issue an order:

- 1. Suspending or revoking respondent's physician's and surgeon's certificate number C33126 heretofore issued to respondents; and
- 2. Taking such other and further action as the division deems appropriate.

DATED: July 11, 1989

Executive Director

Board of Medical Quality Assurance

Complainant

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